

# 交收指示表格

## Settlement Instruction Request Form (SI)

致 中國光大證券（香港）有限公司《結算部》（中央結算參與者編號：B01355）  
 To : China Everbright Securities (HK) Limited <Settlement Department> (CCASS Participant ID : B01355)

傳真號碼 日期  
 Fax No. : (852) 2530 3931 Date : \_\_\_\_\_

客戶名稱 客戶帳號  
 Client Name : \_\_\_\_\_ Client Code : \_\_\_\_\_

請接受  由本人/吾等上述賬戶交付下列證券  
 Please accept to  Deliver the under-mentioned securities from my/our above account.  
 將下列證券接收到本人/吾等上述賬戶  
 Receive the under-mentioned securities for my/our above account.

並將下列交收指示輸入中央結算系統，以便進行交收。  
 and input the following Settlement Instruction (SI) into the Central Clearing and Settlement System (CCASS) to effect settlement for the following details:

對手名稱 Name of Counterparty	中央結算 參與者編號 Participant ID	股票代號 Stock Code	股票名稱 Stock Name	數量 Quantity	交收日期 Value Date

付款指示  (D:- 貨銀對付交收， F:- 無須付款交收)  
 Payment Instruction :  (D:- Delivery vs Payment, F:- Free of Payment)

貨銀對付款項數目  
 Against Payment Amount : HK\$ \_\_\_\_\_

客戶號碼  
 Client A/C No. : \_\_\_\_\_

交收指示目的  (C:- 經紀與託管商交收， M:- 股票組合轉移)  
 Purpose of SI :  (C:- Broker – Custodian, M:- Portfolio Movement)

備註  
 Remarks : \_\_\_\_\_

**For Office Use Only:**

S.I. Fee :

HK\$ \_\_\_\_\_

簽署式樣  
 Authorized Signature(s) : \_\_\_\_\_

**S.V.**